Thorlabs UK Modern Slavery Act 2024 Transparency Statement

This statement is made pursuant to Section 54 of the United Kingdom (UK) Modern Slavery Act 2015 ("the Act") to identify actions Thorlabs Inc. and its UK subsidiary, Thorlabs Ltd. (collectively, "Thorlabs") have taken during the financial year ending December 31, 2023 to prevent slavery and human trafficking in our supply chain or our organisation.

1. Thorlabs Structure and Supply Chains

Thorlabs is a vertically integrated designer, manufacturer and seller of a broad range of photonics products, including laser and fibre optic systems, opto-mechanical components, optics and telecom test instrumentation. As of December 2023, Thorlabs had approximately 2800 employees and operated in 9 countries. Thorlabs has a global vendor base of approximately 5,000 supply chain relationships.

2. Thorlabs Values and Policies

Thorlabs has an extensive range of business policies, processes and procedures which confirm Thorlabs' commitment to conducting its business in an ethical, legal, environmentally sustainable and socially responsible manner. These policies are embedded in the Thorlabs Code of Conduct and General Terms of Purchase. Thorlabs suppliers are subject to the Code of Conduct and General Terms of Purchase thereby ensuring our suppliers are committed to the same legal, ethical and socially responsible values as Thorlabs. The Thorlabs Code of Conduct and Terms of Purchase are available at https://www.thorlabs.de/suppliers.cfm.

3. Due Diligence in Assessing Modern Slavery Risk in Operations or Supply Chains.

Supply chain auditing has been conducted since 2017 based on spend and market risk analysis. In the event that risks are identified, Thorlabs works with the supplier to educate and remove the risk. Thorlabs conducts audits electronically and via vendor visits.

The following activities form our Modem Slavery risk mitigation measures:

- Training of key personnel in the business.
- Information and education of our supplier base.
- Risk analysis based on manufacturing location and commodity.
- Risk analysis based on top down spend.
- Supplier audits are undertaken and score cards are completed.
- Supplier pre-use questionnaires include questions regarding compliance with the Act.

All worldwide and UK suppliers have received an updated self-assessment questionnaire in 2024.

This statement has been approved by the Board of Directors of Thorlabs Inc., the parent company of Thorlabs Ltd.

Jennifer Cable

Director and President

Thorlabs Inc.